SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>HARTNETT MICHAEL J</u>			2. Date of Event Requiring Statement (Month/Day/Year) 08/09/2005		3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>RBC Bearings INC</u> [ ROLL ]						
(Last) (First) (Middle) RBC BEARINGS INCORPORATED		4. Relationship of Reporting Person(s) to Issuer (Check all applicable)         X       Director       X       10% Owner				5. If Amendment, Date of Original Filed (Month/Day/Year)					
ONE TRIBOLOGY CENTER					X Officer ( below)	give title	Other (spe below)		δ. Individual or Joir Applicable Line)	t/Group Filing (Check	
(Street) OXFORD	СТ	06478				CEO				by One Reporting Person by More than One Person	
(City)	(State)	(Zip)									
			Table I - No	on-Deriva	tive Securitie	s Beneficia	lly Owned	4			
1. Title of Security (Instr. 4)					2. Amount of Sec Beneficially Owne	ant of Securities ially Owned (Instr. 4) or Indirect (I (Instr. 5)		;t (D)   (li	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
		(e			re Securities I ants, options,			s)			
1. Title of Derivative Security (Instr. 4)		2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securitie Underlying Derivative Security		(Instr. 4) Conver or Exer		cise Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)		
				rear)	1						
			Date Exercisable	Expiration Date	Title		Amount or Number of Shares	or Exerc Price of Derivativ Security	Direct (D)		
Options for C	ass A Commo	n Stock		Expiration	Title Commo	1 Stock	Number of	Price of Derivativ	Direct (D) or Indirect		
-		n Stock B Common Stock	Exercisable	Expiration Date			Number of Shares	Price of Derivativ Security	ve Direct (D) or Indirect (I) (Instr. 5)		
Warrants to Pu	ırchase Class I		Exercisable 02/18/1998	Expiration Date 02/18/2008	Commo	1 Stock	Number of Shares 23,125	Price of Derivativ Security 2.06	Direct (D) or Indirect (I) (Instr. 5)		
Warrants to Pu Warrants to Pu	urchase Class I uchase Class A	B Common Stock	Exercisable 02/18/1998 06/23/1997	Expiration Date 02/18/2008 06/23/2007	Common	n Stock n Stock	Number of Shares           23,125           312,500	Price of Derivativ Security 2.06 2.06	Direct (D) or Indirect (I) (Instr. 5) D D		
Warrants to Pu Warrants to Pu Warrants to Pu	urchase Class I uchase Class A urchase Class A ungable Conve	B Common Stock	Exercisable           02/18/1998           06/23/1997           06/23/1997	Expiration Date 02/18/2008 06/23/2007 06/23/2007	Common Common Common	n Stock n Stock	Number of Shares           23,125           312,500           1,060,365	Price of Derivativ Security 2.06 2.06 0.4	Direct (D) or Indirect (I) (Instr. 5) D D D	(Instr. 5)  Instr. 5)  By Self as General Partner for Hartnett Family Investments,	

## Explanation of Responses:

1. 88,428 shares of common stock will be issued upon the conversion of the Class B Exchangeable Convertible Participating Preferred Stock simultaneous with the closing of the Issuer's initial public offering. 2. 250 shares of common stock will be issued upon the reclassification of the Issuer's Class B Common Stock immediately prior to the consummation of the Issuer's initial public offering.

## Daniel A. Bergeron

\*\* Signature of Reporting Person

Date

08/09/2005

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY For Executing and Filing Forms 3, 4 and 5 KNOW ALL BY THESE PRESENTS, that the undersigned hereby constitutes and appoints each of Dr. Michael J. Hartnett, Daniel A. Bergeron and any present or future Secretary or Assistant Secretary of RBC Bearings Incorporated or any of them, each acting alone, his/her true and lawful attorney-in-fact to: execute for and on behalf of the (1)undersigned a Form 3, Form 4 or Form 5 relating to the securities of RBC Bearings Incorporated, in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder; do and perform any and all acts for an (2)on behalf of the undersigned which may be necessary or desirable to complete the execution of such Form 3, Form 4 or Form 5 and the timely filing of such form with the United States Securities and Exchange Commission and any other authority; and take any other action of any type (3)whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in his discretion. The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform all and every act and thing whatsoever requisite, necessary and proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as such attorney-in-fact might or could do if personally present, hereby ratifying and confirming all that such attorney-in-fact shall lawfully do or cause to be done by virtue of this Power of Attorney and the rights and powers herein granted. The undersigned acknowledges that each of the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, is not assuming any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934. This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 or 5 with respect to the undersigned's holdings of and transactions in securities issued by RBC Bearings Incorporated unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact. IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 5th day of August, 2005.

/s/ Michael J. Hartnett Name: SWORN TO AND SUBSCRIBED before me this 5th day of August 2005, by Michael J. Hartnett. He is personally known to me and who did take an oath.

NOTARY PUBLIC Starla Todd

State of Connecticut My Commission Expires: September 30, 2006