SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] BERGERON DANIEL A						2. Issuer Name and Ticker or Trading Symbol <u>RBC Bearings INC</u> [ROLL]							(Che	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner						
(Last) 14 BEN		3. Date of Earliest Transaction (Month/Day/Year) 08/15/2022								X Officer (give title Other (specif below) below) Vice President and COO										
(Street) NEWTOWN CT 06470							4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicab Line) X Form filed by One Reporting Person													
(City) (State) (Zip)															Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
1. Title of Security (Instr. 3) 2. Transacti Date (Month/Day,						Execution Date,		Transaction Dis Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an			nd 5) Securities Beneficially Owned Followin Reported				7. Nature of Indirect Beneficial Ownership (Instr. 4)			
									Code M	v	Amount	(D)	Price	(Instr. 3	nsaction(s) str. 3 and 4)					
Common				08/15							21,165	A	\$72.94		883 ⁽¹⁾	D				
Common				08/15/		+			S M	<u> </u>	21,165 11,912	D A	\$259.60 \$72.94	,		D D				
Common				08/16		╈			S	-	11,912		\$260.535		$\begin{array}{c c} 115,630^{(1)} \\ \hline 0 & 103,718^{(1)} \end{array}$		D			
Common				08/17					М		5,360	A	\$72.94		,078 ⁽¹⁾		D			
Common	Stock			08/17	/2022	╈			S		5,360					18 ⁽¹⁾ D				
			Table I								posed of,			Owned			*			
1. Title of Derivative Security 2. 3. Transaction Date 3A. Deem Execution Security or Exercise Price of Derivative Security 0. Transaction Date 3A. Deem Execution			ned 4. on Date, Transa Code (I		saction e (Instr. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		s, options, convertil		7. Title and Amount 8 of Securities 1 Underlying 5		8. Price of Derivative Security (Instr. 5) Beneficia Owned Followin, Reported Transacti (Instr. 4)		ee Ownershi Form: ally Direct (D) or Indirec g (I) (Instr. 4		Beneficial Ownership (Instr. 4)					
				Code		v	(A)	(D)	Date Exercis	sable	Expiration Date	Title	Amount or Number of Shares							
Option to Purchase Common Stock	\$72.94	08/15/2022						21,165	5 07/08/2017		07/08/2023	Common Stock	21,165	\$0 18,82		5	D			
Option to Purchase Common Stock	\$72.94	08/16/2022			М			11,912	07/08	/2017	07/08/2023	Common Stock	11,912	\$0	6,923	3	D			
Option to Purchase Common Stock	\$72.94	08/17/2022			М			5,360	07/08	/2017	07/08/2023	Common Stock	5,360	\$0	1,563	3	D			
Option to Purchase Common Stock	\$99.64								06/27	/2018	06/27/2024	Common Stock	30,000		30,00	0	D			
Option to Purchase Common Stock	\$132.12								06/07/2	2019 ⁽²⁾	06/07/2025	Common Stock	35,000		35,00	0	D			
Option to Purchase Common Stock	\$143.92								06/03/2	2020 ⁽³⁾	06/03/2026	Common Stock	35,000		35,00	0	D			
Option to Purchase Common Stock	\$137.44								06/02/2	2021 ⁽⁴⁾	06/02/2027	Common Stock	20,743		20,74	3	D			
Option to Purchase Common Stock	\$199.16								06/03/2	2022 ⁽⁵⁾	06/03/2028	Common Stock	35,000		35,00	0	D			

Explanation of Responses:

1. Includes 32,732 shares of restricted stock, which vest according to the following schedule; 4,291 shares that vest on 6/2/2023; 16,667 shares 1/2 of which vest on 6/3/2023 and 1/2 vest on 6/3/2024; and 11,774 shares 1/3 of which vest on 6/3/2023, 1/3 vest on 6/3/2024 and 1/3 vest on 6/3/2025.

2. All these options to purchase Common Stock are exercisable except for 7,000 options that vest on 6/7/2023.

3. All these options to purchase Common Stock are exerciseable except for 14,000 options that are subject to the following vesting schedule - 1/2 vest on 6/3/2023 and 1/2 vest on 6/3/2024.

4. All these options to purchase Common Stock are exerciseable except for 12,446 options that are subject to the following vesting schedule - 1/3 vest on 6/2/2023, 1/3 vest on 6/2/2024 and 1/3 vest on 6/2/2025. 5. All these options to purchase Common Stock are exerciseable except for 28,000 options that are subject to the following vesting schedule - 1/4 vest on 6/3/2023, 1/4 vest on 6/3/2024, 1/4 vest on 6/3/2025 and 1/4 vest on 6/3/2026.

Remarks:

/s/John J. Feeney/attorney in fact

08/17/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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