SEC Form 4

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(Last)

(Street)

(City)

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

1. Name and Address of Reporting Person

(First)

(State)

(Middle)

06013

(Zip)

Williams Thomas J

BURLINGTON CT

15 LAUREL CREST DRIVE

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Sect or Section 30(h) of the Investment

06/07/2018

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940			hours per respo	nse:	0.5	
2. Issuer Name and Ticker or Trading Symbol <u>RBC Bearings INC</u> [ROLL]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
		Director		10% Owner		
	w	Officer (giv	e title	Other (specif	v I	
3. Date of Earliest Transaction (Month/Day/Year)	X	below)		below)	´	

General Counsel and Secretary

6. Individual or Joint/Group Filing (Check Applicable Line) Х Form filed by One Reporting Person

Form filed by More than One Re	porting
Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. If Amendment, Date of Original Filed (Month/Day/Year)

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11311.4)	
Common Stock	06/07/2018		Α		1,000	Α	\$ <mark>0</mark>	4,795 ⁽¹⁾⁽²⁾⁽³⁾	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned	
(e.g., puts, calls, warrants, options, convertible securities)	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Num of Deriva Securi Acquir (A) or Dispos of (D) (Instr. and 5)	tive ties red sed 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		on Date of Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option to Purchase Common Stock	\$132.12	06/07/2018		A		7,500		06/07/2019 ⁽⁴⁾	06/07/2025	Common Stock	7,500	\$132.12	7,500	D	
Option to Purchase Common Stock	\$37.66							10/06/2012 ⁽⁵⁾	10/06/2018	Common Stock	10,000		10,000	D	
Option to Purchase Common Stock	\$51.08							06/14/2014 ⁽⁶⁾	06/14/2020	Common Stock	2,000		2,000	D	
Option to Purchase Common Stock	\$64.15							07/01/2015 ⁽⁷⁾	07/01/2021	Common Stock	4,500		4,500	D	
Option to Purchase Common Stock	\$72.83							07/01/2016 ⁽⁸⁾	07/01/2022	Common Stock	6,000		6,000	D	
Option to Purchase Common Stock	\$72.94							07/08/2017 ⁽⁹⁾	07/08/2023	Common Stock	7,500		7,500	D	
Option to Purchase Common Stock	\$99.64							06/27/2018 ⁽¹⁰⁾	06/27/2024	Common Stock	7,500		7,500	D	

Explanation of Responses:

1. 1,000 shares of the reported Common Stock are Restricted Shares. 1/5 vest on 6/7/2019, 1/5 vest on 6/7/2020, 1/5 vest on 6/7/2021, 1/5 vest on 6/7/2022, 1/5 vest on 6/7/2023. Unvested shares of Restricted Stock (i)may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

2. 333 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse on 7/1/2017. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events

3. 667 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule- 1/2 vest on 7/8/2018 and 1/2 vest on 7/8/2019. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

4. Options to purchase shares of Common Stock are subject to the following vesting schedule - 1/5 vest on 6/7/2019, 1/5 vest on 6/7/2020, 1/5 vest on 6/7/2021, 1/5 vest on 6/7/2022 and 1/5 vest on 6/7/2023. Options expire 7 years from grant date.

5. Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/5 vest on 10/06/2012, 1/5 vest on 10/06/2013, 1/5 vest on 10/06/2014, 1/5 vest 10/06/2016. Options expire 7 years from grant date

6. Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/5 vest on 6/14/2014, 1/5 vest on 6/14/2015, 1/5 vest on 6/14/2016, 1/5 vest on 6/14/2017 and 1/5 vest on

6/14/2018. Options expire 7 years from grant date.

7. Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/5 vest on 7-1/2015, 1/5 vest on 7-1/2016, 1/5 vest on 7-1/2017, 1/5 vest on 7-1/2018 and 1/5 vest on 7-1/2019. Options expire 7 years from grant date.

8. Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/5 vest on 7-1/2016, 1/5 vest on 7-1/2017, 1/5 vest on 7-1/2018, 1/5 vest on 7-1/2019, and 1/5 vest on 7-1/2020. Options expire 7 years from grant date.

9. Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/5 vest on 7/8/2017, 1/5 vest on 7/8/2018, 1/5 vest on 7/8/2019, 1/5 vest on 7/8/2020 and 1/5 vest on 7/8/2021. Options expire 7 years from grant date.

10. Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/5 vest on 6/27/2018, 1/5 vest on 6/27/2019, 1/5 vest on 6/27/2020, 1/5 vest on 6/27/2021 and 1/5 vest on 6/27/2022. Options expire 7 years from grant date.

Remarks:

<u>/s/ Thomas J. Williams</u>	<u>06/11/2018</u>
/s/Thomas J. Williams	<u>06/11/2018</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.