## SEC Form 4

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# FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL										
OMB Number: 3235-0287										
Estimated average burden										
hours per response: 0.5										

1. Name and Address of Reporting Person* LEVINE ALAN B			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>RBC Bearings INC</u> [ ROLL ]	(Checl	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
				X						
(Last) 102 WILLEN	(Last) (First) (Middle) 102 WILLENBROCK ROAD		3. Date of Earliest Transaction (Month/Day/Year) 02/14/2018	7	Officer (give title below)	Other (specify below)				
ONE TRIBOLOGY CENTER										
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	6. Individual or Joint/Group Filing (Check Ap					
(Street)				X	Form filed by One Re	porting Person				
OXFORD CT 06478		06478			Form filed by More that Person	an One Reporting				
(City)	(State)	(Zip)								

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1 Hon Benvalive Occurrice, Disposed of, or Beneficially Office											
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D) Price		Transaction(s) (Instr. 3 and 4)			
Common Stock	02/14/2018		М		500	A	\$44.6	20,520 <sup>(1)(2)(3)</sup>	D		
Common Stock	02/14/2018		S		500	D	\$115.7	20,020 <sup>(1)(2)(3)</sup>	D		
Common Stock	02/14/2018		М		2,000	A	\$51.08	22,020 <sup>(1)(2)(3)</sup>	D		
Common Stock	02/14/2018		S		2,000	D	\$115.7	20,020 <sup>(1)(2)(3)</sup>	D		
Common Stock	02/14/2018		М		1,200	A	\$64.15	21,220 <sup>(1)(2)(3)</sup>	D		
Common Stock	02/14/2018		S		1,200	D	\$115.7	20,020 <sup>(1)(2)(3)</sup>	D		
Common Stock	02/14/2018		М		800	A	\$72.83	20,820 <sup>(1)(2)(3)</sup>	D		
Common Stock	02/14/2018		S		800	D	\$115.7	20,020 <sup>(1)(2)(3)</sup>	D		
Common Stock	02/14/2018		М		400	A	\$72.94	20,420 <sup>(1)(2)(3)</sup>	D		
Common Stock	02/14/2018		S		400	D	\$115.7	20,020 <sup>(1)(2)(3)</sup>	D		

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) o Disp of (D	erivative curities cyuired ) or sposed (D) str. 3, 4			d 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option to Purchase Common Stock	\$44.6	02/14/2018		М			500	06/04/2013 <sup>(4)</sup>	06/04/2019	Common Stock	500	\$44.6	0	D	
Option to Purchase Common Stock	\$51.08	02/14/2018		М			2,000	06/14/2014 <sup>(4)</sup>	06/14/2020	Common Stock	2,000	\$51.08	500	D	
Option to Purchase Common Stock	\$64.15	02/14/2018		М			1,200	07/01/2015 <sup>(5)</sup>	07/01/2021	Common Stock	1,200	\$64.15	800	D	
Option to Purchase Common Stock	\$72.83	02/14/2018		М			800	07/01/2016 <sup>(6)</sup>	07/01/2022	Common Stock	800	\$72.83	1,200	D	
Option to Purchase Common Stock	\$72.94	02/14/2018		М		400		07/08/2017 <sup>(7)</sup>	07/08/2023	Common Stock	400	\$72.94	2,400	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) o Disp of (D	osed )) r. 3, 4	Expiration Date (Month/Day/Year) irred 3, 4			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option to Purchase Common Stock	\$99.64							06/27/2018 <sup>(8)</sup>	06/27/2024	Common Stock	2,000		2,000	D	

#### Explanation of Responses:

1. 1,250 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule 1/3 vest on 6/27/2018, 1/3 vest on 6/27/2019, 1/3 vest on 6/27/2019. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

2. 416 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse on 7/1/2018. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

3. 833 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule- 1/2 vest on 7/8/2018 and 1/2 vest on 7/8/2019. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

4. Options to purchase shares of Common Stock vest on 6/14/2018. Options expire 7 years from grant date.

5. Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/2 vest on 7/1/2018 and 1/2 vest on 7/1/2019. Options expire 7 years from grant date

6. Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/3 vest on 7/1/2018, 1/3 vest on 7/1/2019 and 1/3 vest on 7/1/2020. Options expire 7 years from grant date.

7. Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/4 vest on 7/8/2018, 1/4 vest on 7/8/2019, 1/4 vest on 7/8/2020 and 1/4 vest on 7/8/2021. Options expire 7 years from grant date

8. Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/5 vest on 6/27/2018, 1/5 vest on 6/27/2019, 1/5 vest on 6/27/2020, 1/5 vest on 6/27/2021 and 1/5 vest on 6/27/2022. Options expire 7 years from grant date.

**Remarks:** 

### /s/Thomas J. Williams /attorney 02/15/2018 in fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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