SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

						JI Seci	1011 30		nvesime		mpany Act o	1 1940								
1. Name and Address of Reporting Person [*] Williams Thomas J						2. Issuer Name and Ticker or Trading Symbol <u>RBC Bearings INC</u> [ROLL]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last)						3. Date of Earliest Transaction (Month/Day/Year) 09/13/2012								х	below)	give title	10% Owner Other (specify below) sel and Secretary		specify	
							4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) BURLIN	URLINGTON CT US 06013														Form filed by One Reporting Person					
(City) (State) (Zip)					-										Form filed by More than One Reporting Person					
		Tá	able I - No	n-Der	ivativ	ve Se	ecuri	ities Aco	quired,	Dis	posed of	, or Ber	neficia	ally (Owned					
				2. Transaction Date (Month/Day/Year)			2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr.) 8)						Securities Beneficially		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature o Indirect Beneficial Ownership	
									Code	ode V Amount		(A) or (D)	Price	•					(Instr. 4)	
Common Stock 09/13/						/2012			M ⁽⁹⁾		4,000	Α	\$22	2.73	8,500	,(4)(5)(7)		D		
Common Stock 09/13/						3/2012			S ⁽⁹⁾		4,000	D	\$4	48	4,500) (4)(5)(7)		D		
Common Stock 09/13/									M ⁽⁹⁾		7,000	A	\$20			11,500 ⁽⁴⁾⁽⁵⁾⁽⁷⁾		D		
Common Stock 09/13/					13/20	3/2012			S ⁽⁹⁾		7,000	7,000 D		48	4,500 ⁽⁴⁾⁽⁵⁾⁽⁷⁾		D			
			Table II -								osed of, convertib				wned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution I if any (Month/Day	ate,	4. Transaction Code (Instr. 8)		Derivative		6. Date Exercis Expiration Date (Month/Day/Yea		9	7. Title and An of Securities Underlying Derivative Sec (Instr. 3 and 4)		5	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti	e s Illy J	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Benefici Ownersh t (Instr. 4)	
					Code	v	(A)		Date Exercisal	ble	Expiration Date	Title	Amou or Numb of Share	ber		(Instr. 4)				
Option to purchase Common	\$37.66								10/06/20 1	12 ⁽⁶⁾	10/06/2018	Common Stock	10,00	00		10,00	0	D		

Commor

Stock

Common

Stock

Common

Stock

Commo

Stock

10.000

2.000

7.000

10,000

\$<mark>0</mark>

\$<mark>0</mark>

10,000

2,000

0

6,000

D

D

D

D

06/04/2019

02/12/2015

11/11/2015

11/16/2016

Explanation of Responses:

\$44.6

\$31.91

\$20.37

\$22.73

09/13/2012

09/13/2012

Common Stock Option to Purchase

Common

Common

Common

Common

Stock

Stock Option to Purchase

Stock Option to Purchase

Stock Option to Purchase

1. Options to purchase shares of common stock are subject to the following vesting schedule: 1/5 vest on 2/12/09, 1/5 vest on 2/12/10, 1/5 vest on 2/12/11, 1/5 vest on 2/12/12 and 1/5 vest on 2/12/13.

7,000⁽⁹⁾

4,000⁽⁹⁾

2. Options to purchase shares of common stock are subject to the following vesting schedule: 1/3 vested on 11/11/09, 1/3 vest on 11/11/10 and 1/3 vest on 11/11/11.

M⁽⁹⁾

M⁽⁹⁾

3. Options to purchase shares of Common Stock are subject to the following vesting schedule: 1/5 vest on 11/16/2010, 1/5 vest on 11/16/2011, 1/5 vest on 11/16/2012, 1/5 vest on 11/16/2013 and 1/5 vest on 11/16/2014

06/04/2013⁽⁸⁾

02/12/2009(1)

11/11/2009⁽²⁾

11/16/2010⁽³⁾

4. 500 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse on 11/16/2012. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events

5. 2,000 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule: 1/5 lapse on 10/06/2012, 1/5 lapse on 10/06/2013, 1/5 lapse on 10/06/2014, 1/5 lapse on 10/06/2015 and 1/5 lapse on 10/06/2016. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events

6. Options to purchase shares of Common Stock are subject to the following vesting schedule: 1/5 vest on 10/06/2012, 1/5 vest on 10/06/2013, 1/5 vest on 10/06/2014, 1/5 vest on 10/06/2015 and 1/5 vest on 10/06/2016. Options expire 7 years from grant date.

7. 2,000 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule: 1/3 lapse on 6/4/2013, 1/3 lapse on 6/4/2014 and 1/3 lapse on 6/4/2015. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events

8. Options to purchase shares of Common Stock are subject to the following vesting schedule: 1/5 vest on 6/4/2013, 1/5 vest on 6/4/2014, 1/5 vest on 6/4/2015, 1/5 vest on 6/4/2016 and 1/5 vest on 6/4/2017. Options expire 7 years from grant date.

9. Shares sold pursuant to Rule 10b5-1 Sales Plan established on March 29, 2012 covering 11,000 shares for sale at a price of at least \$47 per share subject to Rule 144 requirements and 11,000 shares for sale at a price of at least \$48 per share subject to Rule 144 requirements. The shares will be acquired through exercise of the following options respectively: 8,000 shares from an option granted 2/12/2008 with an exercise

price of \$31.91 per share; 10,000 shares from an option granted 11/11/2008 with an exercise price of \$20.37 per share; and 4,000 shares from an option granted 11/16/2009 with an exercise price of \$22.73 per share.

Thomas J. Williams 09/14/2012 Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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