SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

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Estimated average burden	

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol <u>RBC Bearings INC</u> [ROLL]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>LEVINE ALAN B</u>				X	Director	10% Owner				
				-	Officer (give title	Other (specify				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/19/2019		below)	below)				
102 WILLEN	BROCK ROAD		00/13/2015							
ONE TRIBOLOGY CENTER										
,			4. If Amendment, Date of Original Filed (Month/Day/Year)	t, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Line)						
(Street)				X	Form filed by One Re	porting Person				
OXFORD	CT	06478	-		Form filed by More that Person	an One Reporting				
(City)	(State)	(Zip)								

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities / Disposed Of (5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(iiisti: 4)
Common Stock	08/19/2019		Α		1,500	Α	\$ <mark>0</mark>	19,110 ⁽¹⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Num of Deriva Securi Acquir (A) or Dispos of (D) (Instr. 3 and 5)	tive ties red	6. Date Exerci Expiration Dat (Month/Day/Ye	e	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option to Purchase Common Stock	\$158.48	08/19/2019		Α		1,000		08/19/2020 ⁽²⁾	08/19/2026	Common Stock	1,000	\$0	1,000	D	
Option to Purchase Common Stock	\$72.83							07/01/2019 ⁽³⁾	07/01/2022	Common Stock	1,200		1,200	D	
Option to Purchase Common Stock	\$72.94							07/08/2019 ⁽⁴⁾	07/08/2023	Common Stock	1,600		1,600	D	
Option to Purchase Common Stock	\$99.64							06/27/2019 ⁽⁵⁾	06/27/2024	Common Stock	2,000		2,000	D	
Option to Purchase Common Stock	\$125.24							07/03/2019 ⁽⁶⁾	07/03/2025	Common Stock	1,000		1,000	D	

Explanation of Responses:

1. Includes 2,917 shares of restricted stock, which vest according to the following schedule; 417 shares that vest on 6/27/2020; 1,000 shares 1/2 of which vest on 7/3/2020 and 1/2 vest on 7/3/2021; 1,500 shares 1/3 of which vest on 8/19/2020, 1/3 vest on 8/19/2021 and 1/3 vest on 8/19/2022.

2. All these options to purchase Common Stock are exercisable except for 1,000 options that are subject to the following vesting schedule - 1/5 vest on 8/19/2020, 1/5 vest on 8/19/2021, 1/5 vest on 8/19/2022, 1/5 vest on 8/19/2023 and 1/5 vest on 8/19/2024.

3. All these options to purchase Common Stock are exercisable except for 400 options that vest on 7/1/2020.

4. All these options to purchase Common Stock are exercisable except for 800 options that are subject to the following vesting schedule - 1/2 vest on 7/8/2020 and 1/2 vest on 7/8/2021.

5. All these options to purchase Common Stock are exercisable except for 1,200 options that are subject to the following vesting schedule - 1/3 vest on 6/27/2020, 1/3 vest on 6/27/2021 and 1/3 vest on 6/27/2022.

6. All these options to purchase Common Stock are exercisable except for 800 options that are subject to the following vesting schedule - 1/4 vest on 7/3/2020, 1/4 vest on 7/3/2021, 1/4 vest on 7/3/2022 and 1/4 vest on 7/3/2023.

Remarks:

<u>/s/Joseph</u>

08/21/2019

Salamunovich/attorney in fact ** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. * If the form is filed by more than one reporting person, see Instruction 4 (b)(v). ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.